

# Practical Concurrent and Parallel Programming 2

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# Plan for today

- Primitive atomic operations: AtomicLong, ...
- Immutability, `final`, and safe publication
- Java monitor pattern
- Standard collection classes not thread-safe
- `FutureTask<T>` and asynchronous execution
- Building a scalable result cache
- Defensive copying (VehicleTracker)

Based on slides by  
Peter Sestoft

# Exercises

- Last week's exercises:
  - Too easy?
  - Too hard?
  - Too time-consuming?
  - Too confusing?
  - Any particular problems?

# Goetz examples use servlets

```
public class StatelessFactorizer implements Servlet {
    public void service(ServletRequest req, ServletResponse resp) {
        BigInteger i = extractFromRequest(req);
        BigInteger[] factors = factor(i);
        encodeIntoResponse(resp, factors);
    }
}
```

Goetz p. 19

- Because a webserver is naturally concurrent
  - So servlets should be thread-safe
- We use similar, simpler examples:

```
class StatelessFactorizer implements Factorizer {
    public long[] getFactors(long p) {
        long[] factors = PrimeFactors.compute(p);
        return factors;
    }
}
```

TestFactorizer.java

# A "server" for computing prime factors 2 3 5 7 11 ... of a number

- Could replace the example by this

```
interface Factorizer {
    public long[] getFactors(long p);
    public long getCount();
}
```

- Call the server from multiple threads:

```
for (int t=0; t<threadCount; t++) {
    threads[t] =
        new Thread(() -> {
            for (int i=2; i<range; i++) {
                long[] result = factorizer.getFactors(i);
            }
        });
    threads[t].start();
}
```

# Stateless objects are thread-safe

```
class StatelessFactorizer implements Factorizer {  
    public long[] getFactors(long p) {  
        long[] factors = PrimeFactors.compute(p);  
        return factors;  
    }  
    public long getCount() { return 0; }  
}
```

Like Goetz p. 18

- Local variables (**p**, **factors**) are never shared between threads
  - two `getFactors` calls can execute at the same time

# Bad attempt to count calls

```
class UnsafeCountingFactorizer implements Factorizer {
    private long count = 0;
    public long[] getFactors(long p) {
        long[] factors = PrimeFactors.compute(p);
        count++;
        return factors;
    }
    public long getCount() { return count; }
}
```

Like Goetz p. 19

- Not thread-safe
- Q: Why?
- Q: How could we make it thread-safe?

# Thread-safe server counting calls

```
class CountingFactorizer implements Factorizer {
    private final AtomicLong count = new AtomicLong(0);
    public long[] getFactors(long p) {
        long[] factors = PrimeFactors.compute(p);
        count.incrementAndGet();
        return factors;
    }
    public long getCount() { return count.get(); }
}
```

Like Goetz p. 23

- `java.util.concurrent.atomic.AtomicLong` supports atomic thread-safe arithmetics
- Similar to a thread-safe `LongCounter` class



# Caching computed results

- Fibonacci numbers:  
 $F(0) = F(1) = 1$   
 $F(N) = F(N-1) + F(N-2)$  for  $N > 1$
- $F(N)$  is exponential
- Naïve recursive implementation:  
 $F(N)$  operations
- Iterative / dynamic programming with memoization:  $O(N)$  operations
- Serial java 8:  
`HashMap.computeIfAbsent(...)`

# Bad attempt to cache last factorization

```
class TooSynchrCachingFactorizer implements Factorizer {
    private long lastNumber = 1;
    private long[] lastFactors = new long[] { 1 };
    // Invariant: product(lastFactors) == lastNumber

    public synchronized long[] getFactors(long p) {
        if (p == lastNumber)
            return lastFactors.clone();
        else {
            long[] factors = PrimeFactors.compute(p);
            lastNumber = p;
            lastFactors = factors;
            return factors;
        }
    }
}
```

cache

Like Goetz p. 26

Without `synchronized` the two fields could be written by different threads

- Bad performance: no parallelism at all
- Q: Why?

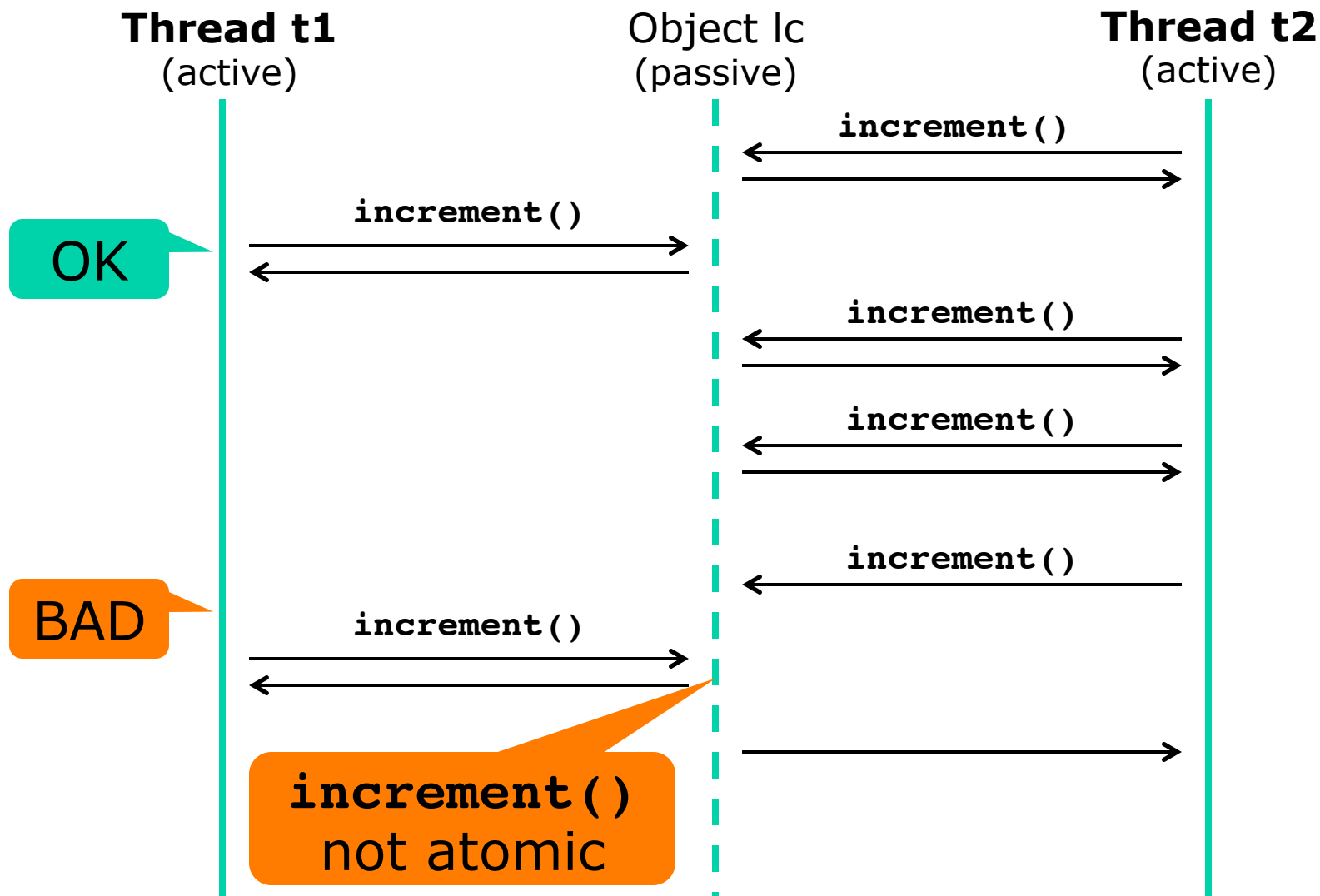
# Atomic operations

- We want to *atomically* update *both* **lastNumber** and **lastFactors**

Operations A and B are *atomic* with respect to each other if, from the perspective of a thread executing A, when another thread executes B, either all of B has executed or none of it has.

An *atomic operation* is one that is atomic with respect to all operations (including itself) that operate on the same state.

# Lack of atomicity: overlapping reads and writes



# Atomic update without excess locking

```
class CachingFactorizer implements Factorizer {
    private long lastNumber = 6;
    private long[] lastFactors = new long[] { 2,3 };
    public long[] getFactors(long p) {
        long[] factors = null;
        synchronized (this) {
            if (p == lastNumber)
                factors = lastFactors.clone();
        }
        if (factors == null) {
            factors = PrimeFactors.compute(p);
            synchronized (this) {
                lastNumber = p;
                lastFactors = factors.clone();
            }
        }
        return factors;
    }
}
```

Atomic  
test-then-act


Atomic write  
of both fields

Like Goetz p. 31

# Using locks for atomicity

For each mutable state variable that may be accessed by more than one thread, **all** accesses to that variable must be performed with the **same** lock held. Then the variable is *guarded* by that lock.

For every invariant that involves more than one variable, **all** the variables involved in that invariant must be guarded by the **same** lock.

- Common mis-reading and mis-reasoning:
  - The *purpose* of **synchronized** is to get atomicity
  - So **synchronized** roughly means “**atomic**”  Wrong
  - True only if **all other** accesses are **synchronized!!!**

# Alternative: Wrap the state in an immutable object

```
class OneValueCache {
    private final long lastNumber;
    private final long[] lastFactors;
    public OneValueCache(long p, long[] factors) {
        this.lastNumber = p;
        this.lastFactors = factors.clone();
    }
    public long[] getFactors(long p) {
        if (lastFactors == null || lastNumber != p)
            return null;
        else
            return lastFactors.clone();
    }
}
```

The fields cannot change between test and return

- Immutable, so automatically thread-safe

# Make the state a single field, referring to an immutable object

```
class VolatileCachingFactorizer implements Factorizer {
    private volatile OneValueCache cache
        = new OneValueCache(0, null);
    public long[] getFactors(long p) {
        long[] factors = cache.getFactors(p);
        if (factors == null) {
            factors = PrimeFactors.compute(p);
            cache = new OneValueCache(p, factors);
        }
        return factors;
    }
}
```

Single-field state,  
atomic assignment

Atomic update

Like Goetz p. 50

- Only one mutable field, atomic update
- Easy to implement, easy to see it is correct
- Allocates many OneValueCache objects: Bad?
  - Not a problem with modern garbage collectors



# Immutability

- OOP: An object has state, held by its fields
  - Fields should be **private** for encapsulation
  - It is common to define getters and setters

But mutable state causes lots of problems

- Immutable design:
  - Each object has one state
  - Each state an object

Immutable objects are always thread-safe.

An object is *immutable* if:

- Its state cannot be modified after construction
- All its fields are **final**
- It is properly constructed (**this** does not escape)

# Bloch: Effective Java, item 15

## Item 15: Minimize mutability

An immutable class is simply a class whose instances cannot be modified. All of the information contained in each instance is provided when it is created and is fixed for the lifetime of the object. The Java platform libraries contain many immutable classes, including `String`, the boxed primitive classes, and `BigInteger` and `BigDecimal`. There are many good reasons for this: Immutable classes are easier to design, implement, and use than mutable classes. They are less prone to error and are more secure.

To make a class immutable, follow these five rules:

1. **Don't provide any methods that modify the object's state** (known as *mutators*).
2. **Ensure that the class can't be extended.** This prevents careless or malicious subclasses from compromising the immutable behavior of the class by behaving as if the object's state has changed. Preventing subclassing is generally ac-
3. **Make all fields `final` and `private`.** Immutable classes provide many advantages, and their only disadvantages are forced by the system. Also, it is necessary to ensure correct behavior if a reference to a newly created instance is passed from one thread to another without synchronization, as spelled out in the *memory model* [JLS, 17.5; Goetz06 16].
4. **Make all fields `private`.** This prevents clients from obtaining access to muta-

Josh Bloch  
designed the Java  
collection classes

A serious Java (or  
C#) developer  
should own and  
use this book

**Classes should be immutable unless there's a very good reason to make them**

**mutable.** Immutable classes provide many advantages, and their only disadvantages are forced by the system. Also, it is necessary to ensure correct behavior if a reference to a newly created instance is passed from one thread to another without synchronization, as spelled out in the *memory model* [JLS, 17.5; Goetz06 16].

# Safe publication: visibility

- The **final** field modifier has two effects
  - **Non-updatability** can be checked by the compiler
  - **Visibility** from other threads of the fields' values after the constructor returns
- So **final** has *visibility effect* like **volatile**
- Without **final** or synchronization, another thread may not see the given field values
  
- That was Java. What about C#/.NET?
  - No visibility effect of **readonly** field modifier
  - So must be ensured by locking or MemoryBarrier
  - Seems a little dangerous?

# Why `.clone()` in the factorizers?

```
public long[] getFactors(long p) {  
    ...  
    factors = lastFactors.clone();  
    ...  
    lastFactors = factors.clone();  
    ...  
}
```

- Because Java array elements are mutable
- So unsafe to share an array with just anybody
- Must *defensively clone* the array when passing a reference to other parts of the program
- This is a problem in sequential code too, but much worse in concurrent code
  - Minimize Mutability!

# The classic collection classes are not threadsafe

```
final Collection<Integer> coll = new HashSet<Integer>();
final int itemCount = 100_000;
Thread addEven = new Thread(new Runnable() { public void run() {
    for (int i=0; i<itemCount; i++)
        coll.add(2 * i);
}});
Thread addOdd = new Thread(new Runnable() { public void run() {
    for (int i=0; i<itemCount; i++)
        coll.add(2 * i + 1);
}});
```

TestCollection.java

- May give wrong results or obscure exceptions:

There are 169563 items, should be 200000

"Thread-0" ClassCastException: java.util.HashMap\$Node cannot be cast to java.util.HashMap\$TreeNode

- Wrap as synchronized coll. for thread safety

```
final Collection<Integer> coll
    = Collections.synchronizedCollection(new HashSet<Integer>());
```

# Collections in a concurrent context

- Preferably use a modern concurrent collection class from `java.util.concurrent`.\*
  - Operations **get**, **put**, **remove** ... are thread-safe
  - But iterators and **for** are only *weakly consistent*:
    - they may proceed concurrently with other operations
    - they will never throw `ConcurrentModificationException`
    - they are guaranteed to traverse elements as they existed upon construction exactly once, and may (but are not guaranteed to) reflect any modifications subsequent to construction.
- Or else wrap collection as synchronized
- Or synchronize accesses yourself
- Or make a thread-local copy of the collection and iterate over that

# Callable<T> versus Runnable

- A Runnable is one method that returns nothing

```
public interface Runnable {  
    public void run();  
}
```

**unit -> unit**

- A `java.util.concurrent.Callable<T>` returns a T:

```
public interface Callable<T> {  
    public T call() throws Exception;  
}
```

**unit -> T**

```
Callable<String> getWiki = new Callable<String>() {  
    public String call() throws Exception {  
        return getContents("http://www.wikipedia.org/", 10);  
    }  
};  
// Call the Callable, block till it returns:  
try { String homepage = getWiki.call(); ... }  
catch (Exception exn) { throw new RuntimeException(exn); }
```

# Synchronous FutureTask<T>

```
Callable<String> getWiki = new Callable<String>() {
    public String call() throws Exception {
        return getContents("http://www.wikipedia.org/", 10);
    }
};
FutureTask<String> fut = new FutureTask<String>(getWiki);
fut.run();
try {
    String homepage = fut.get();
    System.out.println(homepage);
}
catch (Exception exn) { throw new RuntimeException(exn); }
```

Run `call()` on "main" thread

Get result of `call()`

- A `FutureTask<T>`

Similar to .NET  
`System.Threading.Tasks.Task<T>`

- Produces a `T`
- Is created from a `Callable<T>`
- Above we run it synchronously on the main thread
- More useful to run asynchronously on other thread



# Asynchronous FutureTask<T>

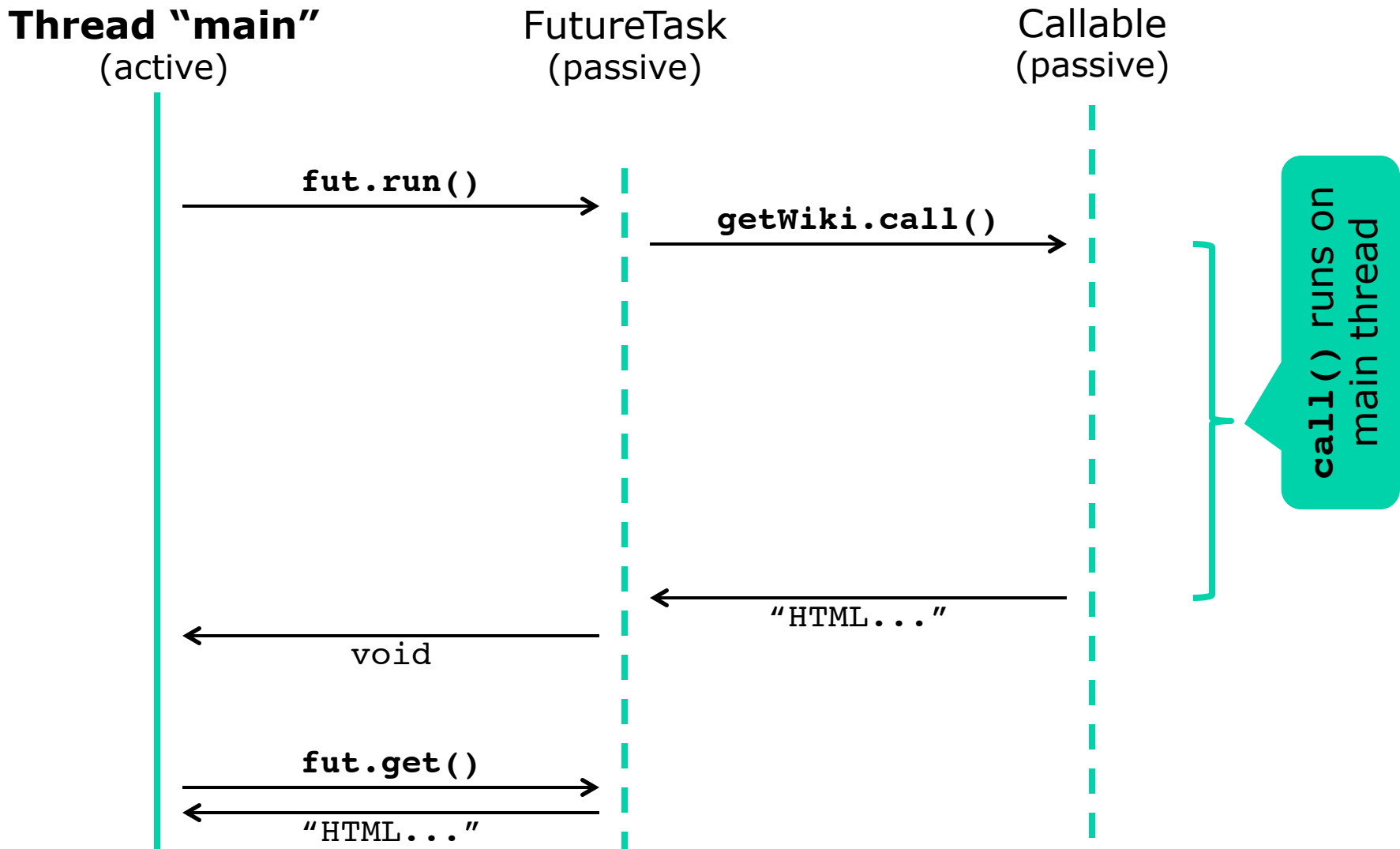
```
Callable<String> getWiki = new Callable<String>() {
    public String call() throws Exception {
        return getContents("http://www.wikipedia.org/", 10);
    }
};
FutureTask<String> fut = new FutureTask<String>(getWiki);
Thread t = new Thread(fut);
t.start();
try {
    String homepage = fut.get();
    System.out.println(homepage);
}
catch (Exception exn) { throw new RuntimeException(exn); }
```

Create and start thread running **call()**

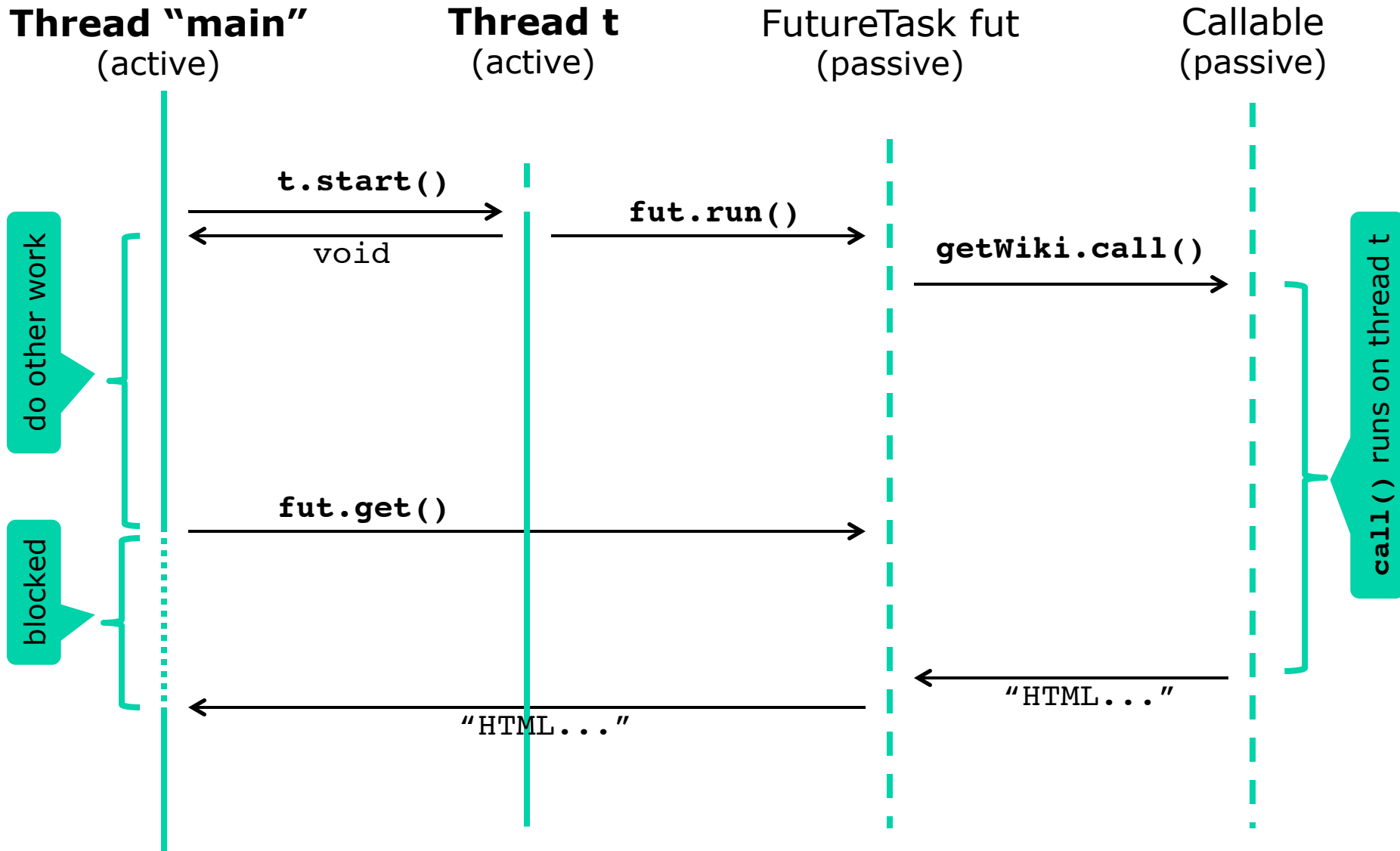
Block until **call()** completes

- The “main” thread can do other work between **t.start()** and **fut.get()**
- FutureTask can also be run as a *task*, week 5

# Synchronous FutureTask



# Asynchronous FutureTask



# Those @\$%&!!! checked exceptions

- Our exception handling is simple but gross:

If `call()` throws `exn`, then `get()` throws `ExecutionException(exn)`

... and then we further wrap a `RuntimeException(...)` around that

```
try { String homepage = fut.get(); ... }  
catch (Exception exn) { throw new RuntimeException(exn); }
```

- Goetz has a better, more complex, approach:

```
try { String homepage = fut.get(); ... }  
catch (ExecutionException exn) {  
    Throwable cause = exn.getCause();  
    if (cause instanceof IOException)  
        throw (IOException) cause;  
    else  
        throw launderThrowable(cause);  
}
```

Rethrow "expected" `call()` exceptions

Turn others into unchecked exceptions

# Goetz's launderThrowable method

unchecked

checked

```
public static RuntimeException launderThrowable(Throwable t) {
    if (t instanceof RuntimeException)
        return (RuntimeException) t;
    else if (t instanceof Error)
        throw (Error) t;
    else
        throw new IllegalStateException("Not unchecked", t);
}
```

Goetz p. 98

- Make a checked exception into an unchecked
  - without adding unreasonable layers of wrapping
  - cannot just **throw cause**; in previous slide's code
- Mostly an administrative mess
  - caused by the Java's "checked exceptions" design
  - thus not a problem in C#/.NET

# Goetz's scalable result cache

- Wrapping a computation so that it caches results and reuses them
  - Example: Given URL, computation fetches webpage
  - If URL is requested again, cache returns webpage
- Versions of Goetz's result cache ("Memoizer")
  - M1: lock-based, not scalable
  - M2: ConcurrentMap, large risk of computing twice
  - M3: use FutureTask, small risk of computing twice
  - M4: use putIfAbsent, no risk of computing twice
  - M5: use computeIfAbsent (Java 8), no risk of ...
    - See also Exercise 2.4.7

# Goetz's scalable result cache

- Interface representing functions from A to V

```
interface Computable <A, V> {  
    V compute(A arg) throws InterruptedException;  
}
```

A -> V

- Example 1: Our prime factorizer

```
class Factorizer implements Computable<Long, long[]> {  
    public long[] compute(Long wrappedP) {  
        long p = wrappedP;  
        ...  
    } }  
}
```

- Example 2: Fetching a web page

```
class FetchWebpage implements Computable<String, String> {  
    public String compute(String url) {  
        ... create Http connection, fetch webpage ...  
    } }  
}
```

# Thread-safe but non-scalable cache

```
class Memoizer1<A, V> implements Computable<A, V> {
    private final Map<A, V> cache = new HashMap<A, V>();
    private final Computable<A, V> c;

    public Memoizer1(Computable<A, V> c) { this.c = c; }

    public synchronized V compute(A arg) throws InterruptedException... {
        V result = cache.get(arg);
        if (result == null) {
            result = c.compute(arg);
            cache.put(arg, result);
        }
        return result;
    }
}
```

If not in cache,  
compute and put

```
Computable<Long, long[]> factorizer = new Factorizer(),
    cachingFactorizer = new Memoizer1<Long, long[]>(factorizer);
long[] factors = cachingFactorizer.compute(7182763656381322L);
```

- Q: Why not scalable?
- Q: Would it work to wrap as synchronizedMap?



# Thread-safe scalable cache, using concurrent hashmap

```
class Memoizer2<A, V> implements Computable<A, V> {
    private final Map<A, V> cache = new ConcurrentHashMap<A, V>();
    private final Computable<A, V> c;

    public Memoizer2(Computable<A, V> c) { this.c = c; }

    public V compute(A arg) throws InterruptedException {
        V result = cache.get(arg);
        if (result == null) {
            result = c.compute(arg);
            cache.put(arg, result);
        }
        return result;
    }
}
```

Goetz p. 105

- But large risk of computing same thing twice
  - Argument put in cache only after computing result
    - so cache may be updated long after `compute(arg)` call

# How Memoizer2 can duplicate work

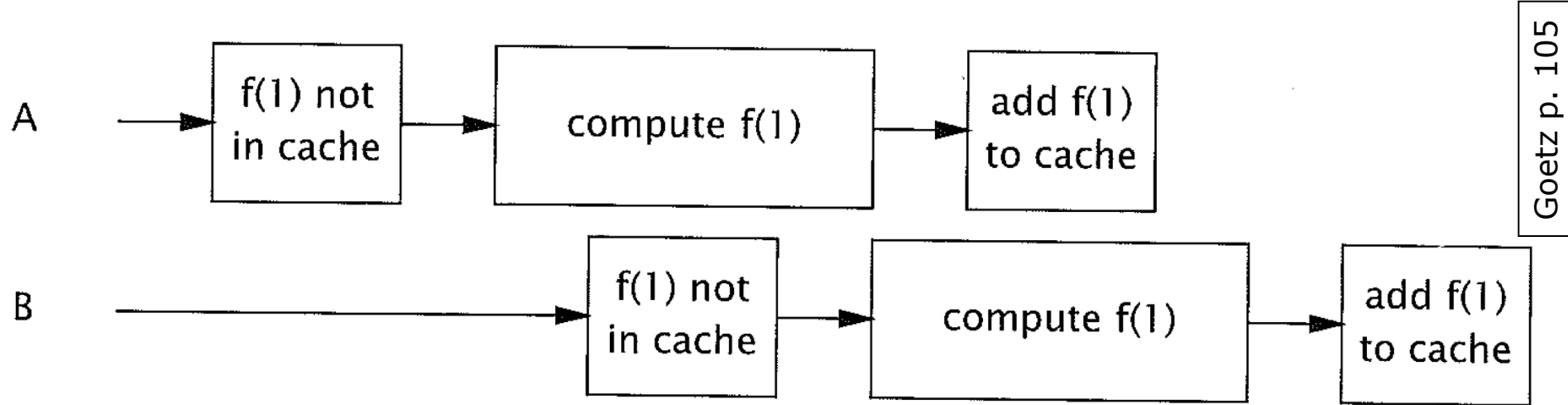


FIGURE 5.3. Two threads computing the same value when using Memoizer2.

- Better approach, Memoizer3:
  - Create a FutureTask for **arg**
  - Add the FutureTask to cache immediately at **arg**
  - Run the future on the calling thread
  - Return **fut.get()**

# Thread-safe scalable cache using FutureTask<V>, v. 3

```
class Memoizer3<A, V> implements Computable<A, V> {
    private final Map<A, Future<V>> cache
        = new ConcurrentHashMap<A, Future<V>>();
    private final Computable<A, V> c;

    public V compute(final A arg) throws InterruptedException {
        Future<V> f = cache.get(arg);
        if (f == null) {
            Callable<V> eval = new Callable<V>() {
                public V call() throws InterruptedException {
                    return c.compute(arg);
                }
            };
            FutureTask<V> ft = new FutureTask<V>(eval);
            cache.put(arg, ft);
            f = ft;
            ft.run();
        }
        try { return f.get(); }
        catch (ExecutionException e) { throw launderThrowable(...); }
    }
}
```

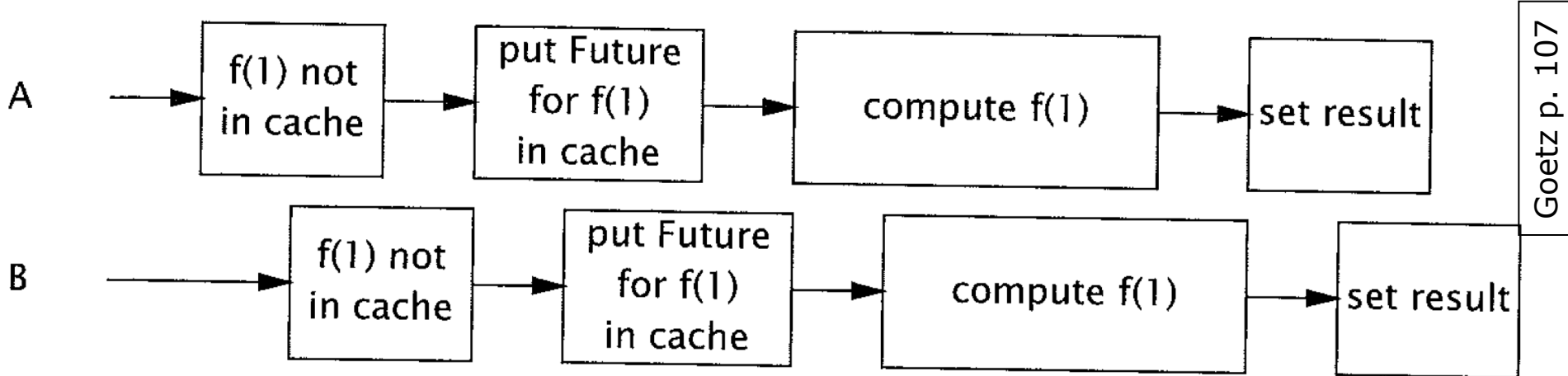
If arg not in cache ...

... make future, add to cache ...

... run it on calling thread

Block until completed

# Memoizer3 can still duplicate work



Goetz p. 107

FIGURE 5.4. Unlucky timing that could cause Memoizer3 to calculate the same value twice.

- Better approach, Memoizer4:
  - Fast initial check for `arg` cache
  - If not, create a future for the computation
  - Atomic put-if-absent may add future to cache
  - Run the future on the calling thread
  - Return `fut.get()`

# Thread-safe scalable cache using FutureTask<V>, v. 4

```
class Memoizer4<A, V> implements Computable<A, V> {
    private final Map<A, Future<V>> cache
        = new ConcurrentHashMap<A, Future<V>>();
    private final Computable<A, V> c;
    public V compute(final A arg) throws InterruptedException {
        Future<V> f = cache.get(arg);
        if (f == null) {
            Callable<V> eval = new Callable<V>() {
                public V call() throws InterruptedException {
                    return c.compute(arg);
                }
            };
            FutureTask<V> ft = new FutureTask<V>(eval);
            f = cache.putIfAbsent(arg, ft);
            if (f == null) {
                f = ft; ft.run();
            }
        }
        try { return f.get(); }
        catch (ExecutionException e) { throw launderThrowable(...); }
    }
}
```

Fast test: If arg not in cache ...

... make future

... atomic put-if-absent

... run on calling thread if not added to cache before

# The technique used in Memoizer4

- Suggestion by Bloch item 69:
  - Make a fast (non-atomic) test for arg in cache
  - If not there, create a future object
  - Then atomically put-if-absent (arg, future)
    - If the arg was added in the meantime, do not add
    - Otherwise, add (arg, future) and run the future
- May wastefully create a future, but only rarely
  - The garbage collector will remove it
- Java 8 has computeIfAbsent, can avoid the two-stage test (see next slide)

# Thread-safe scalable cache using FutureTask<V>, v. 5 (Java 8)

TestCache.java

```

class Memoizer5<A, V> implements Computable<A, V> {
    private final Map<A, Future<V>> cache
        = new ConcurrentHashMap<A, Future<V>>();
    private final Computable<A, V> c;
    public V compute(final A arg) throws InterruptedException {
        final AtomicReference<FutureTask<V>> ftr = new ...();
        Future<V> f = cache.computeIfAbsent(arg, (A argv) -> {
            Callable<V> eval = new Callable<V>() {
                public V call() throws InterruptedException {
                    return c.compute(argv);
                }
            };
            ftr.set(new FutureTask<V>(eval));
            return ftr.get();
        });
        if (ftr.get() != null)
            ftr.get().run();
        try { return f.get(); }
        catch (ExecutionException e) { throw launderThrowable(...); }
    }
}

```

Callable<V> eval = new Callable<V>() {  
 public V call() throws InterruptedException {  
 return c.compute(argv);  
 }  
};  
ftr.set(new FutureTask<V>(eval));  
return ftr.get();

make future

... run on calling thread if not already in cache

# Goetz's VehicleTracker example

- Maintains a real-time map of taxi locations
- Concurrent calls to
  - `setLocation(...)` from each of the taxis
  - `getLocation(...)` from the operator
  - `getLocations()` from large wall display
- We shall see four thread-safe versions
  - V1: unmodifiable HashMap of mutable points, lock
  - V2A: modifiable ConcurrentHashMap of immutable points, give out unmodifiable view of map
  - V2B: same but give out only *copy* of map
  - V3: use thread-safe mutable points



# Java monitor pattern

An object following the *Java monitor pattern* encapsulates all its mutable state (in **private** fields) and guards it with the object's own intrinsic lock (**synchronized**).

Goetz p. 60

- Monitors invented 1974 by Hansen and Hoare
  - A way to encapsulate mutable state in concurrency
- Java monitor pattern implements monitors
  - If you use care and discipline!
  - Per Brinch Hansen critical of Java, 1999 paper
- Modern (Java) data structures are subtler ...
  - Illustrated by Goetz's VehicleTracker example

# Which VehicleTracker is best?

- All are thread-safe
  - Some due to defensive copying
  - Some due to immutability or unmodifiability
- Different meanings of setLocation:
  - **getLocations is affected** by later setLocation:
    - DelegatingVehicleTracker (V2A)
    - SafePublishingVehicleTracker (V3)
  - **getLocations not affected** by later setLocation:
    - MonitorVehicleTracker (V1)
    - DelegatingVehicleTracker with getLocationSnapshot (V2B)
- Performance depends on the usage
  - Number of calls to setLocation VS getLocations
  - Number of results returned by getLocations

# This week

- Reading
  - Goetz et al chapters 4 and 5
  - Bloch item 15
- Exercises
  - Hand-in Thursday at 23:55
  - Goals: Build a threadsafe class, use built-in collection classes, use the “future” concept
- Read before for next week’s lecture
  - *Java Precisely* 3<sup>rd</sup> ed. §11.13, 11.14, 23, 24, 25
  - Available in PDF on LearnIT

